

SOP Title	Using the Ontario Cancer Research Ethics Board (OCREB) as the Board of		
	Record		
Number.Version	102.004		
Effective Date	January 27, 2022		

Approvals

Name and Title of Signatories	Signature	Date mm/dd/yyyy
Erika Basile		
Director, Research Ethics and	5-02-0	24 Jan 2022
Compliance	Cale Tool	
Dr. Philip Jones		
Chair, Health Sciences Research Ethics	MulesCh	27 Jan 2022
Board		

1. PURPOSE

The purpose of this standard operating procedure (SOP) is to describe the types of research being conducted at the University of Western Ontario (UWO) and its affiliated institutions that may use the Ontario Cancer Research Ethics Board (OCREB) as a delegated Research Ethics Board (REB) of Record.

2. GENERAL POLICY STATEMENT

All research that involves living human participants, human remains, cadavers, tissues, biological fluids, embryos or fetuses requires review and approval by a Health Sciences Research Ethics Board (HSREB) prior to commencement of intervention or interaction with human participants in research, including study recruitment. Through a formal relationship and board of record agreement, OCREB may be delegated as a research ethics board of record for UWO's REB for multi-centre clinical trials in oncology.

3. RESPONSIBILITY

This SOP applies to the HSREB Chair and Vice-Chair(s), Office of Human Research Ethics (OHRE) staff, Investigators and associated research staff for the purpose of understanding the type of human subject/materials research that may be submitted to OCREB for review and approval.

4. **DEFINITIONS**

See Glossary of Terms

5. SPECIFIC POLICIES AND PROCEDURES.

5.1. Scope of OCREB Review

Details on the scope of OCREB review can be found in the OCREB policies and procedures.

5.2. Determination of Whether Research Falls Within the Scope of OCREB Review

- 5.2.1. The opinion of OCREB should be sought whenever there is any doubt about the applicability of submitting a particular research project to OCREB prior to completing an application form.
- 5.2.2. The research ethics coordinator at OCREB will correspond with the investigator as needed to assist in the determination of whether their research falls within the scope of OCREB review.

Final Effective Date: January 27, 2022

5.2.3. When the determination of scope is unclear, the decision will be made by the Executive Director and/or Chair at OCREB.

5.3. Notification of Board of Record Agreement and OCREB Approval

- 5.3.1.Upon receipt of OCREB approval, the Investigator or designee will send an electronic copy of the Board of Record Agreement and Approval Letter to the HSREB on a study-by-study basis.
- 5.3.2. The HSREB logs the study and relevant approval information for tracking and reporting purposes. OCREB maintains responsibility for ethical oversight of the conduct of the study.
- 5.3.3. The Director functions as Institutional Representative for OCREB and is notified by OCREB of each approval.
- 5.3.4. The Director is the primary contact person for any changes related to the formal relationship with OCREB.

6. REFERENCES

- 6.1. Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans (TCPS2);
- 6.2. International Conference on Harmonisation (ICH) Good Clinical Practice (GCP) Guidelines as adopted by Health Canada;
- 6.3. Health Canada Therapeutic Products Directorate Food and Drug Regulations for Clinical Trials, Division 5;
- 6.4. US Food and Drug Administration (FDA) Code of Federal Regulations (CFR), Title 21, Parts 50.3; 50.24; 56.108;
- 6.5. US Department of Health and Human Services (HHS) CFR Title 45 Part 46.102; 46.103;
- 6.6. FDA Information Sheets for IRBs and Investigators.

7. SOP HISTORY

SOP Number.Version	Key Changes	Effective Date mm/dd/yyyy
102.001	Original	05/22/2014
102.002	Minor Administrative Changes	05/10/2016
102.003	Minor Administrative Changes	09/06/2018
102.004	Minor Administrative Updates	01/27/2022

Number Version 102.003 Page 2 of 2

Final Effective Date: January 27, 2022